

JUN 22 2001

PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 95,1408-CCC)

Handwritten: 11-2, 7-5-01

In the Application of:

Kellogg et al.

Serial No.: 09/624,777

Filing Date: July 25, 2000

For: Capillary Microvalve

Examiner: ~~Not Assigned~~

Group Art Unit: ~~3753~~ 1747

Handwritten: J. Warden

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

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Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. Copies of the references cited below are enclosed. These references are also listed on the enclosed PTO Form 1449.

In the judgment of the undersigned, portions of the listed references may be material to the Examiner's consideration of the presently pending claims. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103.

Applicants do not believe any fee is due with this submission. If this belief be in error and the Patent Office determines that the fee prescribed in the relevant portion of 37 C.F.R. Section 1.97 is applicable, the undersigned attorney by his signature hereby authorizes any such fee to be debited from

Deposit Account 13-2490.

If any of the references are incomplete the Examiner is cordially invited to contact the undersigned by telephone (312) 913-0001.

This application claims priority to U.S. Provisional Application, Serial No. 60/034,327, filed December 20, 1996.

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1. Salatiello et al., U.S. Patent No. 4,729,862, issued July 21, 1981
2. Ekins, U.S. Patent No. 4,381,291, issued April 26, 1983
3. Klose et al., U.S. Patent No. 4,515,889, issued May 7, 1985
4. Edelmann et al., U.S. Patent No. 4,676,952, issued June 30, 1987
5. Ekins, U.S. Patent No. 4,745,072, issued May 17, 1988
6. Kopf-Sill et al., U.S. Patent No. 5,160,702, issued November 3, 1992
7. Ekins, U.S. Patent No. 5,171,695, issued December 15, 1992
8. Burtis et al., U.S. Patent No. 5,173,262, issued December 22, 1992
9. Burtis et al., U.S. Patent No. 5,242,803, issued September 7, 1993
10. Burd, U.S. Patent No. 5,409,665, issued April 25, 1995
11. Buhl et al., U.S. Patent No. 5,413,732, issued May 9, 1995
12. Tabata et al., U.S. Patent No. 5,432,009, issued July 11, 1995
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- 26 Burd et al., U.S. Patent No. 5,457,053, issued October 10, 1995
- 27 Bernstein et al., U.S. Patent No. 5,478,750, issued December 26, 1995
- 28 Schembri et al., U.S. Patent No. 5,591,643, issued January 7, 1997
- 29 Burd et al., U.S. Patent No. 5,518,930, issued May 21, 1996
- 30 Schembri et al., U.S. Patent No. 5,472,603, issued December 5, 1995
- 31 Schembri, U.S. Patent No. 5,693,233, issued December 2, 1997
- 32 Kelton et al., U.S. Patent No. 5,496,520, issued March 5, 1996
- 33 Burd, U.S. Patent No. 5,061,381, issued October 29, 1991
- 34 Braynin et al., U.S. Patent No. 5,242,606, issued September 7, 1993
- 35 Schembri, U.S. Patent No. 5,403,415, issued April 4, 1995
- 36 Schembri, U.S. Patent No. 5,173,193, issued December 22, 1992
- 37 Chatterjee et al., U.S. Patent No. 5,275,016, issued January 4, 1994
- 38 Buhl et al., U.S. Patent No. 5,624,567, issued April 29, 1997
- 39 Schembri, U.S. Patent No. 5,599,411, issued February 4, 1997
- 40 Kopf-Sill et al., U.S. Patent No. 5,590,052, issued December 31, 1996

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45 European Patent No. 616,218, published September 21, 1994

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Respectfully submitted,

**McDonnell Bochnen Hulbert & Berghoff**

Date: June 22, 2001

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Kevin E. Noonan, Ph.D.  
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U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No.

Serial No.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

95,1408-CCC

09 624,777

Applicant: Kellogg et al.

Filing Date: July 25, 2000

Group: 3753

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**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
	1	4,279,862	3 8 88	Salatiello et al.			
	2	4,381,291	4 26 83	Ekins			
	3	4,515,889	5 7 85	Klose			
	4	4,676,952	6 30 87	Edelmann			
	5	4,745,072	5 17 88	Ekins			
	6	5,160,702	11 3 92	Kopf-Sill et al.			
	7	5,171,695	12 15 92	Ekins			
	8	5,173,262	12 22 96	Burtis et al.			
	9	5,242,803	9 7 93	Burtis et al.			
	10	5,409,665	4 25 95	Burd			
	11	5,413,732	5 9 95	Buhl et al.			
	12	5,432,009	7 11 95	Tabata et al.			
	13	5,472,603	12 5 95	Schembri			
	14	5,006,749	4 9 91	White			
	15	5,252,294	10 12 93	Kroy et al.			
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Form PTO-1449  U.S. Department of Commerce Patent and Trademark Office  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  JUN 22 2001	Atty. Docket No.  95,1408-CCC	Serial No.  08 624,777
	Applicant: Kellogg et al.	
	Filing Date: July 25, 2000	Group: 3753

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	26	5,457,053	10 10 95	Burd et al.			
	27	5,478,750	12 26 95	Bernstein et al.			
	28	5,591,643	1 7 97	Schembri			
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	30	5,472,603	12 5 95	Schembri			
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	33	5,061,381	10 29 91	Burd			
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	35	5,403,415	4 4 95	Schembri			
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	37	5,275,016	1 4 94	Chatterjee et al.			
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Examiner	Date Considered
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	Applicant: Kellogg et al.	
	Filing Date: July 25, 2000	Group: 3753

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		Document umber	Date	Country	Class	Subclass	Translation Yes No
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